



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| | |
|------------------------|---------------------------|
| Application Number | 10/791,043 |
| Filing Date | March 1, 2004 |
| First Named Inventor | Jurianus de Pauw |
| Art Unit | unknown |
| Examiner Name | unknown |
| Attorney Docket Number | H27107-9950(1161.1156101) |

ENCLOSURES (Check all that apply)

| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> 4 non-US patent references |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | <input type="checkbox"/> Return Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|-------------------------|----------|--------|
| Firm Name | Crompton Seager & Tufte | | |
| Signature | | | |
| Printed name | Brian N. Tufte | | |
| Date | September 23, 2005 | Reg. No. | 38,638 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|---------------|------|--------------------|
| Signature | | | |
| Typed or printed name | Lynn Thompson | Date | September 23, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| | | | |
|---|--|--|---------------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Atty. Docket No.: H27107-9950 (1161.1156101) | Serial No.: 10/791,043 |
| Page 1 of 2 | | | |

LIST OF PATENTS AND PUBLICATIONS



Applicant: Jurianus de Pauw et al.

| | |
|---------------|------------|
| Filing Date: | Group Art: |
| March 1, 2004 | Unknown |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Filing Date If Appropriate |
|------------------|--------------|------------|--------------------|----------------------------|
| | Des. 136,848 | 12/14/1943 | Dreyfuss | |
| | Des. 136,850 | 12/14/1943 | Dreyfuss | |
| | Des. 136,852 | 12/14/1943 | Dreyfuss | |
| | Des. 176,657 | 01/17/1956 | Dreyfuss | |
| | Des. 179,069 | 10/30/1956 | Dreyfuss | |
| | Des. 180,517 | 06/25/1957 | Hose | |
| | Des. 183,121 | 07/01/1958 | Dreyfuss | |
| | Des. 183,164 | 07/08/1958 | Dreyfuss | |
| | Des. 189,368 | 11/29/1960 | Baak | |
| | Des. 190,050 | 04/04/1961 | Duncan | |
| | Des. 197,352 | 01/14/1964 | Dreyfuss | |
| | Des. 248,838 | 08/08/1978 | Pasquarette et al. | |
| | Des. 254,052 | 01/29/1980 | Wolfe | |
| | Des. 276,731 | 12/11/1984 | Steiner | |
| | Des. 288,670 | 03/10/1987 | Steiner | |
| | Des. 290,235 | 06/09/1987 | Odom, Jr. et al. | |
| | Des. 347,584 | 06/07/1994 | Vogelpohl | |
| | Des. 390,482 | 02/10/1998 | Pasquarette | |
| | Des. 471,825 | 03/18/2003 | Peabody | |
| | 2,225,080 | 12/17/1940 | Newman | |
| | 2,394,920 | 02/12/1946 | Kronmiller | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | | |
|---|--|--|------------------------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Page 2 of 2 | | Atty. Docket No.: H27107-9950 (1161.1156101) | Serial No.: 10/791,043 |
| LIST OF PATENTS AND PUBLICATIONS | | | Applicant: Jurianus de Pauw et al. |
| | | Filing Date: March 1, 2004 | Group Art: Unknown |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|--|
| | Honeywell, "Thermostat Subbases Q539A,B,C,F,G,H,J,P," pp 1-11, 1989. |
| | Honeywell, "T87F Thermostats," pp 1-8, 2002. |
| | Honeywell, "Electromechanical Thermostats," 2 pages, 2002. |
| | Honeywell, "Modulating Room Thermostat," Dutch User's Manual and English translation of the manual, 13 pages each, March 2002. |

| | |
|-----------|------------------|
| EXAMINER: | DATE CONSIDERED: |
|-----------|------------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.